

OIP E JC 15
MAR 17 2005
PATENT & TRADEMARK OFFICE

\$FW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/712,791

Filing Date

November 12, 2003

First Named Inventor

Michael John Smithers

Art Unit

2644

Examiner Name

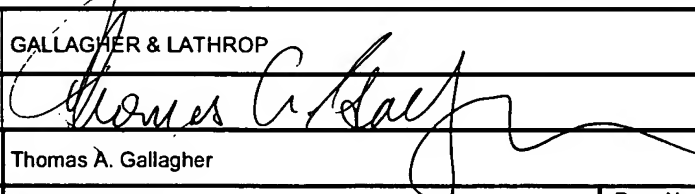
Attorney Docket Number

DOL118

ENCLOSURES (Check all that apply)

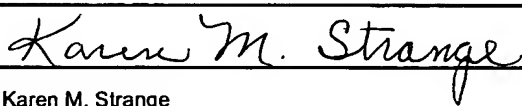
- | | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | PTO-1449, 8 cited references and return receipt postcard |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="text"/> Remarks | |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	GALLAGHER & LATHROP		
Signature			
Printed name	Thomas A. Gallagher		
Date	March 14, 2005	Reg. No.	24,815

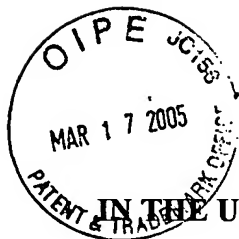
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Karen M. Strange	Date	March 14, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael John Smithers, et al.

Serial No.: 10/712,791

Filing Date: November 12, 2003

Title: Frame-Based Audio
Transmission/Storage with
Overlap to Facilitate Smooth
Crossfading

Examiner:

Art Unit: 2644

Conf. No.

March 14, 2005
San Francisco, California

Mail Stop Amendment
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR § 1.56, Applicants respectfully submit the following information disclosure statement.

The undersigned certifies that each of the following references was contained in a communication from the EPO in a counterpart international application not more than three months prior to the filing of this statement.

The disclosed reference is a copy of the International Search Report for International Application No. PCT/US 2004/036950. A copy of the Search Report is enclosed together with the eight (8) cited references.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR §1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

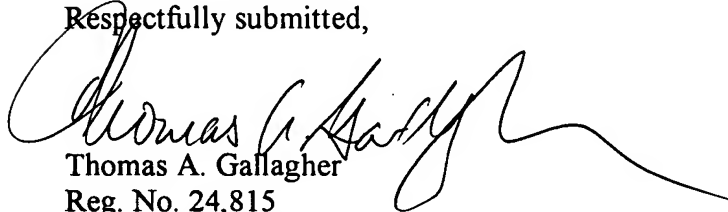
The filing of this Supplemental Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Docket: DOL118

Supplemental Information Disclosure Statement, Ser. No. 1-/712,791

Applicants wish to make of record the documents listed on the accompanying form PTO-1449. It is respectfully requested that the Examiner initial the cited reference on the form and that it be made of record in the present application and that a copy of the initialed form be sent to applicants with the next communication from the Examiner.

Respectfully submitted,



Thomas A. Gallagher

Reg. No. 24,815

601 California St., Suite 1111

San Francisco, CA 94108-2805

Telephone: (415) 989-8080

Facsimile: (415) 989-0910

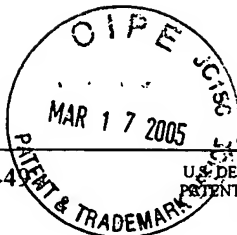
Certificate of Mailing Under 37 CFR 1.8

I certify that this Supplemental Information Disclosure Statement and any enclosed materials are being deposited with the United States Postal Service on March 14, 2005 with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Karen M. Strange

Enc. Transmittal Form
PTO-1449 (1 Sheet)
Copy of Cited References
(ISR w/8 cited references)
Return Receipt Postcard



Substitute Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEDOCKET
DOL118S.N.
10/712,791

INFORMATION DISCLOSURE STATEMENT

APPLICANT
Michael John Smithers, et al.FILING DATE
November 12, 2003GROUP
2644

U.S. PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	FILING DATE
		US-5,225,945	7/6/93	Mine, Norichika, et al.	
		US-5,903,872	5/11/99	Louis Dunn Fiedler	
		US-2002/0116178	8/22/02	Brett G. Crockett	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO	
		GB 2378873 A	2/19/03	David Trevor Cliff		
		EP 60055566	3/30/85	Takeuchi Hiroaki		
		EP 1067551 A2	1/10/01	Terao, Kyoichi		
		EP 1094464 A2	4/25/01	Koyata, Tomohiro, et al.		

NON-PATENT LITERATURE DOCUMENTS

*Exam. Initial	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	TRANSLATION YES NO	
		Craven, Peter, "Lossless Coding for Audio Discs," Journal of the Audio Engineering Society, Vol. 44, No. 9, September 1996.		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP; draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.